

# A Prospective Registry of 5,451 Patients With Ultrasound-Confirmed Deep Vein Thrombosis

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We enrolled 5,451 patients with ultrasound-confirmed deep vein thrombosis (DVT), including 2,892 women and 2,559 men, from 183 United States sites in our prospective registry. The 5 most frequent comorbidities were hypertension (50%), surgery within 3 months (38%), immobility within 30 days (34%), cancer (32%), and obesity (27%). Of the 2,726 patients who had their DVT diagnosed while in the hospital, only 1,147 (42%) received prophylaxis within 30 days before diagnosis. ©2004 by Excerpta Medica, Inc.

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**V**enous thromboembolism (VTE) comprises deep vein thrombosis (DVT) and pulmonary embolism (PE) and accounts for >250,000 hospitalizations annually in the United States.<sup>1,2</sup> The most serious complication of DVT is PE, which has a 3-month mortality rate as high as 17%.<sup>3–5</sup> VTE can also lead to the debilitating postphlebotic syndrome in as many as 1/3 of patients.<sup>6</sup> The incidence of DVT varies with the population studied, ranging from 56 cases/100,000 to 182 cases/100,000 patients.<sup>1,7</sup> Particularly susceptible groups include elderly hospitalized patients and patients who have had recent surgery, cancer, or previous DVT.<sup>2,8–10</sup> To explore the current epidemiology of patients with DVT, as well as the current management and use of prophylaxis, we undertook a large, prospective multicenter registry of United States patients whose acute DVT was diagnosed using venous ultrasonography. We describe the demographics, comorbidities, clinical presentation, prophylaxis, and initial treatment of these patients.

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We enrolled consecutive patients with acute DVT confirmed by venous ultrasound at 183 United States sites in a multicenter prospective study. The maximum enrollment period was 6 months (October 2001 to March 2002). There were no exclusion criteria. We classified patients as outpatients (including those seen

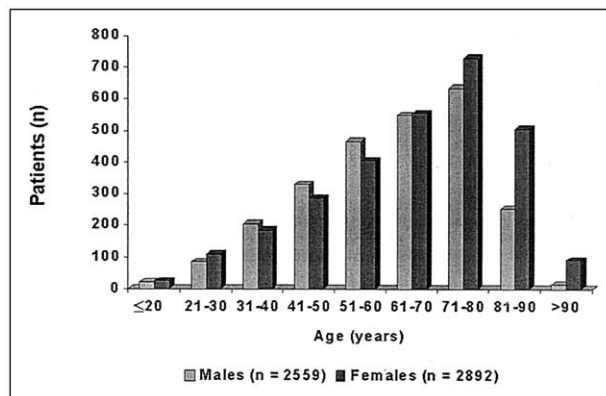


FIGURE 1. Distribution of patients by age and gender.

in the emergency department) or inpatients based on their status at the time of DVT diagnosis.

Investigational review board approval was obtained as was patient consent, if required. Data on consecutive patients who had DVT diagnosed using ultrasound were obtained from medical records at each study site and recorded on case record forms by a study coordinator. Co-morbidities were prespecified in our protocol. Each site with >2 patients was monitored with at least 1 site visit by an independent auditor to confirm the ultrasound diagnosis and to review the medical records to ensure accuracy. Statistical Analysis System version 6.12 (SAS Institute, Cary, North Carolina) was used to calculate statistics.

We enrolled 5,451 patients (2,892 women; 2,559 men), of whom 2,725 were outpatients and 2,726 were inpatients; 1,364 patients were surgical and 1,362 were nonsurgical. The diagnosis of DVT among inpatients was obtained after a median of 6 hospital days among surgical patients versus 2 hospital days among nonsurgical patients ( $p < 0.001$ ). Figure 1 shows the age distribution for men and women. The mean age was  $64 \pm 17$  years. There were more women than men  $\geq 70$  years of age ( $p < 0.0001$ ). In addition, 72% of patients were white ( $n = 3,928$ ), 17% were African-American ( $n = 930$ ), 4% were Hispanic ( $n = 210$ ), <1% were Asian ( $n = 23$ ), 1% represented other races ( $n = 41$ ), and 6% were missing information on race ( $n = 319$ ). Concomitant PE was identified in 14.5% of patients ( $n = 793$ ). PE was confirmed by >1 modality in some patients: lung scan ( $n = 402$ ), chest computed axial tomography ( $n = 402$ ), contrast pulmonary angiography ( $n = 48$ ), and magnetic resonance imaging ( $n = 2$ ).

Of the patients, 876 (16%) had no co-morbidities. The most frequent co-morbidities were hypertension, surgery within 3 months before diagnosis, immobility

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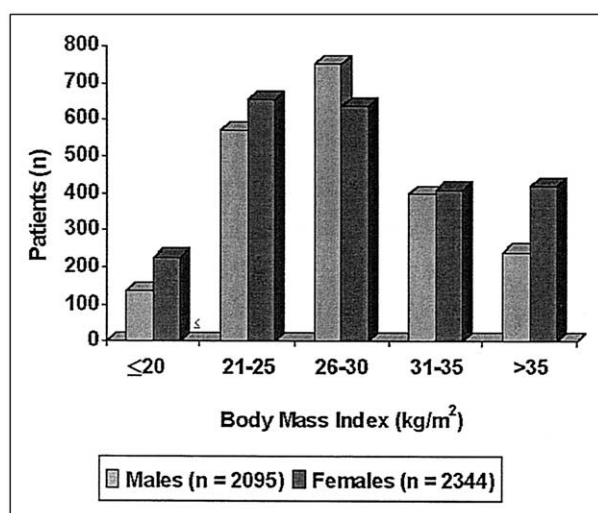
\*The members of the DVT FREE Steering Committee are listed in the Appendix.

**TABLE 1** Co-morbid Conditions by Status at Time of Deep Vein Thrombosis (DVT) Diagnosis in Descending Order of Frequency

| Conditions                              | All<br>(n = 5,451)    | Patient Status at Time of<br>Diagnosis |                          |
|-----------------------------------------|-----------------------|----------------------------------------|--------------------------|
|                                         |                       | Outpatient<br>(n = 2,725)              | Inpatient<br>(n = 2,726) |
| Systemic hypertension                   | 2,707 (50%)           | 1,206 (45%)                            | 1,501 (55%)              |
| Surgery past 3 mos                      | 2,094 (38%)           | 732 (35%)                              | 1,362 (65%)              |
| Immobile last 30 d                      | 1,876 (34%)           | 537 (29%)                              | 1,339 (71%)              |
| Cancer                                  | 1,768 (32%)           | 836 (47%)                              | 932 (53%)                |
| Obesity                                 | 1,466 (27%)*          | 709 (48%)                              | 757 (52%)                |
| Previous smoker                         | 1,424 (26%)           | 636 (45%)                              | 788 (55%)                |
| Previous hospitalization                | 1,255 (23%)           | 524 (42%)                              | 731 (58%)                |
| Previous DVT                            | 1,220 (22%)           | 692 (57%)                              | 528 (43%)                |
| Neurologic disease                      | 1,183 (22%)           | 484 (41%)                              | 699 (59%)                |
| Osteoarthritis                          | 878 (16%)             | 430 (49%)                              | 448 (51%)                |
| In-dwelling catheter                    | 840 (15%)             | 196 (23%)                              | 644 (77%)                |
| Current smoker                          | 758 (14%)             | 418 (55%)                              | 340 (45%)                |
| Non-insulin-dependent diabetes mellitus | 689 (13%)             | 327 (47%)                              | 362 (53%)                |
| Congestive heart failure                | 685 (13%)             | 225 (33%)                              | 460 (67%)                |
| Chronic obstructive pulmonary disease   | 668 (12%)             | 254 (38%)                              | 414 (62%)                |
| Other infectious disease                | 566 (10%)             | 180 (32%)                              | 386 (68%)                |
| Insulin-dependent diabetes mellitus     | 418 (8%)              | 119 (28%)                              | 299 (72%)                |
| Hormone replacement therapy             | 404 (7%) <sup>†</sup> | 262 (65%)                              | 142 (35%)                |
| Pneumonia                               | 401 (7%)              | 78 (19%)                               | 323 (81%)                |
| Previous pulmonary embolism             | 364 (7%)              | 204 (56%)                              | 160 (44%)                |
| Fracture                                | 289 (5%)              | 87 (30%)                               | 202 (70%)                |
| Thrombophilic disorder                  | 254 (5%)              | 141 (56%)                              | 113 (44%)                |
| Sepsis                                  | 252 (5%)              | 25 (10%)                               | 227 (90%)                |
| Family history of VTE                   | 244 (4%)              | 168 (69%)                              | 76 (31%)                 |
| Minor trauma                            | 200 (4%)              | 116 (58%)                              | 84 (42%)                 |
| Chronic venous insufficiency            | 199 (4%)              | 96 (48%)                               | 103 (52%)                |

\*BMI  $\geq 30$  kg/m<sup>2</sup>; 33% of patients with known height and weight.

<sup>†</sup>Fourteen percent of women.



**FIGURE 2.** Distribution of patients by BMI and gender. BMI data are missing for 744 patients.

within 30 days before diagnosis, cancer, and obesity (Table 1). Overweight and obesity were common (Figure 2). Body mass index (BMI) was calculated for 81% of all patients (n = 4,439). The mean BMI was  $28.3 \pm 7.3$  kg/m<sup>2</sup>. One third of patients were obese (BMI  $>30$  kg/m<sup>2</sup>), and 31% were overweight (BMI 25 kg/m<sup>2</sup> to 30 kg/m<sup>2</sup>). A total of 2,094 (38%) devel-

oped DVT within 3 months after surgery (Figure 3). The median time from surgery to diagnosis of DVT was 8 days for patients who had their DVT diagnosed while in hospital and 21 days for those who had their DVT diagnosed while outpatients (p  $<0.0001$ ).

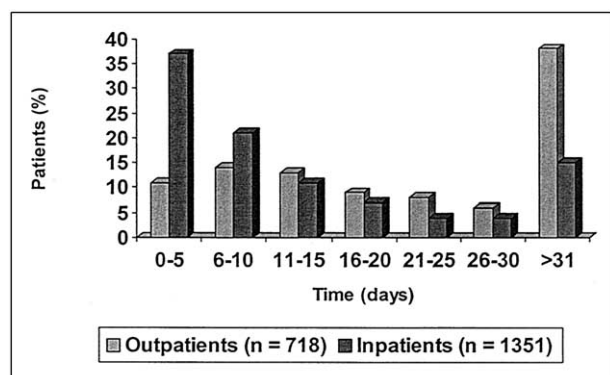
Among the 793 patients with concomitant PE, the co-morbidities more commonly observed than with DVT alone were chronic lung disease (16% vs 12%, p = 0.004) and pulmonary infection (10% vs 8%, p = 0.04). Less commonly observed co-morbidities among patients with concomitant PE and DVT were insulin-dependent diabetes mellitus (5% vs 8%, p = 0.007), kidney disease requiring hemodialysis (1% vs 4%, p  $<0.001$ ), infectious diseases other than pulmonary infection (7% vs 10%, p = 0.004), and gastrointestinal bleeding requiring transfusion (1% vs 3%, p = 0.007).

Most patients (90%; n = 4,907) experienced clinical signs or symptoms of either DVT or PE (Table 2). The most common symptoms were edema or discomfort in the extremities. A similar number of outpatients (n = 2,725) and inpatients (n = 2,726) were registered. Among the inpatients, 2,118 (78%) had their DVT diagnosed while in nonintensive care units, and 605 (22%) had their DVT diagnosed while in intensive care units; 441 of the latter were on mechanical ventilation. The status of the remaining 3 patients is unknown.

Unilateral lower extremity DVT was detected in 77% of patients (n = 4,173), and bilateral lower extremity DVT was detected in 12% (n = 657). Of these patients, 829 (15.2%) had isolated calf DVT, and 1,988 (36.5%) had proximal and calf DVT. Upper extremity DVT was detected in 11% of patients (n = 604). In 17 patients ( $<1\%$ ), DVT was detected in other veins, including pelvic, neck, inferior vena cava, and portal.

For patients who had their DVT diagnosed while outpatients, the median time to diagnosis was 3 days after symptoms began; for those who had their DVT diagnosed while inpatients, the median time to diagnosis was 1 day. The most common admission diagnoses for inpatients who subsequently had their DVT diagnosed were infection (16%), cardiovascular disease (12%), neurologic disease (10%), and cancer (9%). The median length of hospital stay for those who had their DVT diagnosed while outpatients was 5 days; for those who had their DVT diagnosed while inpatients, it was 12 days (p  $<0.0001$ ). Of those who had their DVT diagnosed while outpatients, 80% (n = 2,176) were hospitalized for treatment of DVT (Table 3).

Overall, 71% of patients (n = 3,894)—including



**FIGURE 3.** Time from most recent surgery to diagnosis of DVT by patient status at the time of DVT diagnosis. Data are missing for 25 patients.

| Signs and Symptoms of DVT/PE | Patient Status at Time of Diagnosis |                       |
|------------------------------|-------------------------------------|-----------------------|
|                              | Outpatient (n = 2,725)              | Inpatient (n = 2,726) |
| None                         | 41 (2%)                             | 289 (11%)             |
| Edema                        | 2,241 (82%)                         | 1,610 (59%)           |
| Extremity discomfort         | 1,902 (70%)                         | 1,000 (37%)           |
| Dyspnea                      | 363 (13%)                           | 649 (24%)             |
| Erythema                     | 450 (17%)                           | 224 (8%)              |
| Difficulty walking           | 359 (13%)                           | 174 (6%)              |
| Chest pain                   | 170 (6%)                            | 230 (8%)              |
| Cough                        | 85 (3%)                             | 132 (5%)              |
| Syncope                      | 23 (1%)                             | 65 (2%)               |
| Hemoptysis                   | 16 (1%)                             | 33 (1%)               |
| Other                        | 208 (8%)                            | 253 (9%)              |

| Treatment Modality                                            | Patient Status at Time of Diagnosis |                       |
|---------------------------------------------------------------|-------------------------------------|-----------------------|
|                                                               | Outpatient (n = 2,725)              | Inpatient (n = 2,726) |
| Subcutaneous low-molecular-weight heparin bridge to warfarin  | 1,244 (46%)                         | 899 (33%)             |
| Intravenous unfractionated heparin bridge to warfarin         | 1,071 (39%)                         | 855 (31%)             |
| Vena cava filter                                              | 235 (9%)                            | 546 (20%)             |
| Low-molecular-weight heparin monotherapy                      | 152 (6%)                            | 364 (13%)             |
| Unfractionated heparin monotherapy                            | 96 (4%)                             | 230 (8%)              |
| Warfarin                                                      | 111 (4%)                            | 145 (5%)              |
| Unfractionated heparin bridge to low-molecular-weight heparin | 108 (4%)                            | 85 (3%)               |
| Subcutaneous unfractionated heparin monotherapy               | 20 (1%)                             | 137 (5%)              |
| Thrombolytic therapy for DVT                                  | 27 (1%)                             | 29 (1%)               |

2,295 nonsurgical patients (i.e., those with no history of surgery in the 3 months before diagnosis)—received no prophylaxis within 30 days before DVT was diagnosed. Of the 29% of patients (n = 1,557) who did receive prophylaxis, 410 had DVT diagnosed while outpatients and 1,147 while inpatients. The prophylaxis modality was pharmacologic in 60% (n =

931), mechanical in 20% (n = 309), combined pharmacologic and mechanical in 20% (n = 313), and unknown in 4 patients. For pharmacologic prophylaxis, 350 patients (38%) received low-dose unfractionated heparin, and 241 (26%) received low-molecular-weight heparin. Of the 2,726 who had DVT diagnosed while inpatients, 1,147 (42%) received prophylaxis within 30 days before diagnosis. Of the 787 patients who underwent surgery >14 days before DVT diagnosis, 376 (48%) received prophylaxis. Among prophylaxis recipients, 29% (n = 449) received unfractionated heparin and 15% (n = 241) received low-molecular-weight heparin. Prophylaxis with unfractionated heparin was 4 times more common in patients who had DVT diagnosed while inpatients versus outpatients (36% vs 9%, respectively).

DVT FREE confirms that previous DVT, surgery, cancer, and immobility are the most common risk factors for DVT. Nonsurgical patients were much less likely to receive prophylaxis compared with surgical patients. In a multicenter, randomized double-blind trial of enoxaparin versus placebo in 1,102 hospitalized nonsurgical patients at risk for VTE, enoxaparin 40 mg/day decreased the VTE rate by 2/3 compared with placebo.<sup>11</sup> Nevertheless, routine preventive efforts were not widely practiced among inpatients enrolled in DVT FREE.

Almost all of the patients reported symptoms or signs of DVT before ultrasound confirmation. The more rapid diagnosis of DVT in inpatients may be related to closer observation, greater access to diagnostic ultrasound, or a higher index of suspicion compared with outpatients. The delay in diagnosis among outpatients may also possibly be caused by a variety of factors, such as difficulty in obtaining an appointment, lack of financial resources, or uncertainty regarding the potential medical importance of the symptoms.

Despite the American College of Chest Physicians' endorsement of outpatient therapy using low-molecular-weight heparin for acute DVT management<sup>12</sup>, this approach was used in only 20% of patients.<sup>13,14</sup> This suggests that the improved efficacy and cost effectiveness of low-molecular-weight heparin, compared with unfractionated heparin, may not be generally appreciated or accepted.<sup>15,16</sup>

We were surprised by the high rate of vena caval filter implantation. Overall, 781 patients (14%) received filters: 235 were outpatients (9%) and 546 were inpatients (20%). The primary indication was "prophylaxis" for 257 of these 781 patients (33%). This raises the question of whether alternative forms of prophylaxis might be preferable because of excessive recurrent DVT<sup>17</sup> and rehospitalization rates<sup>18</sup> in patients with filters.

Two-thirds of the patients were either overweight (BMI 25 to 30 kg/m<sup>2</sup>) or obese (BMI ≥30 kg/m<sup>2</sup>).<sup>19</sup> In the Nurses' Health Study,<sup>20</sup> obesity was associated with a threefold increase in the likelihood of developing PE.

The validity of conclusions from registries depends on broad-based case ascertainment. Unlike randomized clinical trials, interpretation of registries should be limited to hypothesis generation.

## APPENDIX

**The DVT FREE Steering Committee:** Richard J. Friedman, MD, Charleston Orthopaedic Associates, Charleston, South Carolina; Samuel Z. Goldhaber, MD, Brigham and Women's Hospital, Boston, Massachusetts; James B. Groce, III, PharmD, Moses Cone Health System, Greensboro, North Carolina; Michael Jaff, DO, Heart and Vascular Institute, Morristown, New Jersey; Geno J. Merli, MD, Thomas Jefferson University Hospital, Philadelphia, Pennsylvania; Franklin Michota Jr., MD, Cleveland Clinic Foundation, Cleveland, Ohio; Ruth Morrison, RN, BSN, CVN, Brigham and Women's Hospital, Boston, Massachusetts; James E. Muntz, MD, Baylor College of Medicine/Methodist Hospital, Houston, Texas; Charles V. Pollack Jr., MA, MD, FACEP, University of Pennsylvania Hospital, Philadelphia, Pennsylvania; Victor F. Tapson, MD, Duke University Medical Center, Durham, North Carolina; and Roger D. Yusen, MD, Washington University School of Medicine, St. Louis, Missouri.

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